

**When the brain does not adequately feel the body:**

**Links between low resilience and interoception**

Lori Haase<sup>1,3</sup>, Jennifer L. Stewart<sup>2</sup>, Brittany Youssef<sup>1</sup>, April C. May<sup>1</sup>, Sara Isakovic<sup>1</sup>, Alan N.

Simmons<sup>1,3</sup>, Douglas C. Johnson<sup>1,4</sup>, Eric G. Potterat<sup>5</sup>, Martin P. Paulus<sup>1,3</sup>

<sup>1</sup> Psychiatry Department, University of California, San Diego; 9500 Gilman Drive, La Jolla CA  
92093-0603, USA

<sup>2</sup> Psychology Department, Queens College, City University of New York, Flushing, NY 11367, USA

<sup>3</sup> Veterans Affairs San Diego Healthcare System, 3350 La Jolla Village Drive, San Diego, CA 92161

<sup>4</sup> Warfighter Performance Department; Naval Health Research Center, 140 Sylvester Road, San  
Diego, CA 92106-3521, USA

<sup>5</sup> Naval Special Warfare Command, 2000 Trident Way, San Diego, CA, 92155-5599, USA

**Email addresses in order of author appearance:** lhaase@ucsd.edu;

jennifer.stewart@qc.cuny.edu; byoussef@ucsd.edu; acmay@ucsd.edu;

sara.isakovic@gmail.com, ansimmons@ucsd.edu, dcj002@ucsd.edu,

eric.potterat@navsoc.socom.mil, mpaulus@ucsd.edu

**Correspondence:** Lori Haase, Laboratory of Biological Dynamics and Theoretical Medicine,

Department of Psychiatry, University of California San Diego, 8939 Villa La Jolla Drive, Suite

200, La Jolla, CA, 92037-0985. Email: lhaase@ucsd.edu Phone: +1 858 534 9447. Fax: +1 858 534

9450.

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**Abstract**

This study examined neural processes of resilience during aversive interoceptive processing.

Forty-six individuals were divided into three groups of resilience Low (LowRes), high (HighRes),

and normal (NormRes), based on the Connor-Davidson Resilience Scale (2003). Participants then

completed a task involving anticipation and experience of loaded breathing during functional

magnetic resonance imaging (fMRI) recording. Compared to HighRes and NormRes groups,

LowRes self-reported lower levels of interoceptive awareness and demonstrated higher insular

and thalamic activation across anticipation and breathing load conditions. Thus, individuals with

lower resilience show reduced attention to bodily signals but greater neural processing to

aversive bodily perturbations. In low resilient individuals, this mismatch between attention to

and processing of interoceptive afferents may result in poor adaptation in stressful situations.

## Introduction

*Resilience* can be conceptualized as one's ability to positively adapt to stress, trauma, and adversity (Luthar, Cicchetti, & Becker, 2000), that is, the ability to utilize cognitive, emotional, and physiological resources in response to a stressor, and conservation of these resources once the stressor is removed (Block & Kremen, 1996; McEwen & Gianaros, 2011; Ong, Bergeman, Bisconti, & Wallace, 2006). These components of resilience may work together for an individual to adequately cope with traumatic events (Kok, Herrell, Thomas, & Hoge, 2012) and prevent the development of psychopathology (Haglund, Nestadt, Cooper, Southwick, & Charney, 2007). Surprisingly, however, relatively little is known how resilience is implemented in the brain. Of particular interest are the neural processing characteristics of low resilient individuals because they provide a brain-based rationale to develop targeted interventions to strengthen inadequate processing of stressors. Moreover, a more comprehensive understanding of the facets that contribute to low resilience is necessary to create biomarkers of change in intervention studies aimed at increasing stress resilience.

A central goal of recovery from stress is to maintain homeostasis of critical bodily functions such as temperature, blood pH, and blood glucose. To that end, the brain needs to be able to sense the state of the body to effectively engage in actions that can reduce imbalances and thus better regulate homeostasis. Interoception (Craig, 2002, 2003) is the process of sensing body-state relevant information within the context of homeostasis. For example, a person will approach a heat source in a cold environment but avoid it when the ambient temperature is high. Interoception provides an anatomical and physiological framework for identifying pathways focused on the modulating the internal state of the individual. This framework

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4 comprises peripheral receptors (Vaitl, 1996), c-fiber afferents, spino-thalamic projections,  
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7 specific thalamic nuclei, posterior and anterior insula as the limbic sensory cortex, and anterior  
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10 cingulate cortex (ACC) as the limbic motor cortex (Augustine, 1996; Craig, 2007). The insula is  
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12 thought to be the central nervous system hub for interoceptive processing, such that body-  
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15 state relevant afferents enter the posterior insula, are integrated with the internal state in the  
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18 mid-insula, and re-represented as complex feeling state within the anterior insula (Gu et al.,  
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20 2013).

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22           Interoception is an important process for resilience because it links the perturbation of  
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25 internal state, including stressors, to goal-directed action that can restore the homeostatic  
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28 balance of the body (Paulus et al., 2009). Highly resilient individuals (e.g., elite athletes, special  
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31 operations forces) demonstrate attenuated insular and ACC activation during emotional  
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34 processing and aversive interoceptive stimulation (Paulus et al., 2012; Paulus et al., 2010; A. N.  
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37 Simmons et al., 2012; Thom et al., 2012), findings suggesting that the ability to perform well  
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40 under stress may modulate neural systems important for processing interoceptive information.  
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43 Despite recent work demonstrating brain patterns linked to high resilience (Paulus et al., 2012;  
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46 Waugh, Wager, Fredrickson, Noll, & Taylor, 2008), less work has examined neural processes  
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49 reflective of low resilience. Available research indicates that low-resilient individuals exhibit  
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52 heightened anterior insula activation to threatening and aversive stimuli, whereas high-resilient  
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55 individuals only show anterior insula increase to aversive emotion, suggesting that low  
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58 resilience is linked to inappropriate evaluation of threat (Waugh et al., 2008). Moreover,  
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61 attenuated recruitment of the medial prefrontal cortex (mPFC) has been linked to high  
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64 resilience (Amodio & Frith, 2006; Thom et al., 2012), likely because mPFC mediates adaptations  
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4 to stress(Maier & Watkins, 2010). It is unknown whether low resilience is characterized by  
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7 attenuated or amplified processing of body-relevant information, which may lead to  
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10 inadequate responses to stressful situations.

### 11 **The Present Study**

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14 To examine how the body and brain responds to an aversive stimulus,we employed an  
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17 aversive inspiratory breathing load task to study individual differences in resilience during  
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20 functional magnetic resonance imaging (fMRI). Breathing is an interoceptive process that has  
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23 both peripheral (Adriaensen & Timmermans, 2011) and central (Davenport & Vovk, 2009)  
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26 pathways. Changes in breathing serve as a source of threat and result in increased anxiety (von  
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29 Leupoldt, Chan, Bradley, Lang, & Davenport, 2011). An effective method of inducing  
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32 experimental breathing change is by providing resistance during breathing inspiration. Our  
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35 inspiratory breathing load task reliably activates brain regions involved in interoceptive  
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38 processing, namely the insula, ACC, and medial prefrontal cortex (mPFC)(Paulus et al., 2012).  
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41 Thus, the inspiratory breathing load task is an ideal method to assess the degree to which low-  
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44 resilient and high-resilient individuals physiologically bounce back from stress.

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47 We hypothesized that,compared to normal and high resilient individuals, low resilient  
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50 individuals will exhibit greater activation in ACC, insular, and prefrontal cortices, linked to  
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53 greater resources needed to regulate stress responses. For example, if the anterior insular  
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56 cortex plays an important role in helping to predict perturbations in the internal body state and  
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59 the ACC computes various types of error signals to help establish the selection of action, one  
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62 would hypothesize that heightened activations in these structures are associated with  
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65 less effective stress adaptation.

## Methods

### Participants

This study was conducted at the University of California, San Diego (UCSD) and was approved by the UCSD Institutional Review Board. All subjects were recruited from the community, signed informed consents, and received \$50 compensation. Participants were categorized on the basis of their scores on the Connor-Davidson Resilience Scale (CD-RISC) (Connor & Davidson, 2003a), a 10-item scale that measures the ability to cope with stress and adversity. Prior studies of the original CD-RISC support its internal consistency, test-retest reliability, and convergent and divergent validity (Campbell-Sills & Stein, 2007; Connor & Davidson, 2003b). Forty six eligible subjects, all right handed as assessed by the Edinburgh Handedness Inventory (Oldfield, 1971), were separated into three groups: (1) low resilience (LowRes,  $n=16$ ; CD-RISC score  $\leq 20^{\text{th}}$  percentile); (2) normal resilience (NormRes,  $n=12$ ; CD-RISC score between  $21^{\text{st}}$ - $79^{\text{th}}$  percentiles); and (3) high resilience (HighRes,  $n=18$ ; CD-RISC score  $\geq 80^{\text{th}}$  percentile).

Participants were matched for age, education, and gender (See Table 1 for study demographics). The following were exclusion criteria for all groups: (1) incorporated metal or any other factor that precludes use of fMRI; (2) current drug and/or alcohol dependence; (3) history of severe traumatic brain injury with loss of consciousness  $> 30$  min; (4) current use of antipsychotic medication or mood stabilizers, or other drugs that can acutely affect the hemodynamic response; (5) any diagnosed neurological disorder (including attention deficit hyperactivity disorder); and (6) history of schizophrenia, bipolar disorder, obsessive compulsive disorder, or antisocial personality disorder. No restrictions were placed on the consumption of

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4 caffeine-containing beverages; none of the subjects were smokers. Subjects then completed an  
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7 fMRI session consisting of a continuous performance task with a breathing load manipulation  
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10 (described below).

### 11 **Neuroimaging Involving Aversive Interoceptive Processing**

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15 Prior to the fMRI scan, participants completed measures of self-reported interoceptive  
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17 awareness, the Body Awareness Questionnaire (BAQ), assessing attentiveness to normal bodily  
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19 processes (Shields, Mallory, & Simon, 1989) as well as the Body Responsiveness Questionnaire  
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21 (BRQ), measuring responsiveness to bodily sensations (Daubenmier, 2005).  
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25 ***Breathing load apparatus.*** Subjects wore a nose clip and breathed through a  
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27 mouthpiece with a non-rebreathing valve (2600 series, Hans Rudolph) that maintained an  
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29 airtight seal. The apparatus was attached to the scanner head coil to eliminate the need to for  
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31 the participant to contract mouth muscles. The resistance loads consisted of a sintered bronze  
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33 disk in a Plexiglas tube (loading manifold), with stoppered ports inserted between the disks.  
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38 Subjects were given a 40 cmH<sub>2</sub>O/L/sec inspiratory load applied to only the inspiratory port of  
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40 the non-rebreathing valve for 40 seconds. Prior to scanning, subjects were given instructions  
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42 about the task and experienced three 1-minute segments of the breathing load. Following the  
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44 fMRI session, participants completed Visual Analogue Scale (VAS) questionnaires, on which they  
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48 were asked to rate the breathing load experience on a 10 cm scale anchored from “not at all”  
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50 (0) to “extremely” (10) on the following 16 dimensions: pleasant, unpleasant, intense, tingling,  
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53 fear of losing control, faintness, fear of dying, unreality, hot/cold flushes, trembling, choking,  
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55 abdominal distress, chest pain, palpitations, sweating, and dizziness, corresponding to items  
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58 used in prior studies (Chan & Davenport, 2008; Davenport & Vovk, 2008).  
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4       **Continuous performance task (CPT).** Subjects performed a simple attention task while  
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7 undergoing periods of inspiratory loaded breathing. Prior to testing, subjects were trained on  
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9 the task. Participants were instructed to press a button corresponding to the direction pointed  
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11 by an arrow on the screen (left arrow = left button, right arrow = right button). Each trial lasted  
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13 3 sec; each arrow appeared for 2.5 sec and the subject was allowed to respond during the  
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15 entire 3 sec trial interval. Subjects' accuracy and reaction time (RT) were recorded and analyzed  
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17 to determine effects of anticipation and stimulus presentation. The background color of the  
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19 stimulus served as a cue to the impending presentation of the breathing load; blue indicated  
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21 that there would be no load and yellow indicated a 25% chance of load presence. We  
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23 introduced this probability to maximize the opportunity to measure the effect of anticipating an  
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25 aversive interoceptive event. Throughout the task, subjects experienced five conditions: (1)  
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27 baseline: subject performs task with a blue background signifying no cue; (2) anticipation: a  
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29 yellow background (cue) signals 25% chance of an impending resistive loaded breathing period;  
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31 (3) breathing load: 25% of the periods following the anticipation condition, subject continues to  
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33 view the yellow cue and experiences 40-second period of resistive loaded breathing (plug at 40  
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35 cm H<sub>2</sub>O/L/sec); (4) post-anticipation: 75% of the periods following the anticipation condition,  
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37 subject performs the task with the blue background present (no cue); and (5) post-breathing  
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39 load: immediately after the breathing load condition, subject performs the task with the blue  
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41 background present (no cue). Subjects were requested to maintain a consistent breathing pace  
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43 during the scan and exhaled carbon dioxide (CO<sub>2</sub>) was measured.  
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56       **Experimental design.** Implementation of this paradigm used an event-related fMRI  
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58 design consisting of 2 runs, each containing 170 trials (56 baseline, 46 anticipation, 52 breathing  
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4 load, 12 post-anticipation, and 4 post-breathing load) and 256 repetition times (TR = 2 sec),  
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6 yielding a total duration of 17 minutes and 4 seconds. Each trial corresponded to 1.5 TR. Across  
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8 runs, each subject was presented with 34 baseline conditions and 32 anticipation conditions of  
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10 varying length (average: 3 trials each). Eight of the anticipation conditions were followed by the  
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12 breathing load condition, consisting of 40-sec (13 trials) inspiratory breathing-load episodes  
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14 (see Figure 1). Durations of baseline (range: 2-7 trials) and anticipation conditions (range: 2-4  
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16 trials) were jittered in time to permit optimal resolution of the hemodynamic response  
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18 function. During the CPT, CO<sub>2</sub> levels were also collected at a rate of 40 Hz for each subject via  
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20 nasal cannula (InVivo Corporation, Orlando, FL). The main dependent measures of interest were  
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22 RT, accuracy, CO<sub>2</sub> levels, fMRI whole-brain activation and functionally constrained regions of  
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24 interest during the anticipation and breathing load conditions relative to the baseline condition  
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26 (Paulus et al., 2012). Although the post-breathing load and post-anticipation conditions were  
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28 included in the deconvolution to account for nuisance variance, they were not included in  
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30 further analyses.  
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#### 40 **Neuroimaging Acquisition and Analysis**

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43 ***Image acquisition.*** Imaging data was acquired at the UCSD Center for Functional MRI on  
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45 a 3T GE shortbore scanner (GE MR750), equipped with an eight-channel high bandwidth  
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47 receivers that allow for shorter readout times and reduced signal distortions and ventromedial  
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49 signal dropout. A high-resolution anatomical image was obtained, which consisted of a  
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51 sagittally acquired spoiled gradient recalled (SPGR) sequence (172 sagittal slices; FOV 25 cm;  
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53 matrix: 192x256 (interpolated to 256x256); slices thickness: 1mm; TR: 8ms; TE: 3ms; flip angle:  
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59 12). We used an 8-channel brain array coil to axially acquire T2\*-weighted echo-planar images  
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4 (EPI; 40 axial slices, FOV: 230mm, matrix: 64x64; slice thickness: 3mm; TR: 2sec; TE 30ms; flip  
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7 angle: 90). Rapid image T2\* acquisition was obtained via GE's ASSET scanning, a form of  
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10 sensitivity encoding (SENSE), which uses parallel imaging reconstruction to allow for sub k-  
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12 space sampling.  
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15 ***Image analysis pathway.*** All subject-level data were processed with Analysis of  
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17 Functional Neuroimages (AFNI) software package (Cox, 1996). The multivariate regressor  
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19 approach detailed below was used to relate changes in EPI intensity to differences in task  
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21 characteristics (Haxby, Petit, Ungerleider, & Courtney, 2000). EPI images were co-registered  
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23 using a 3D-coregistration algorithm (Eddy, Fitzgerald, & Noll, 1996) that was developed to  
24  
25 minimize the amount of image translation and rotation relative to all other images. Six motion  
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27 parameters (dx, dy, dz, and roll, pitch, and yaw) were obtained across the time series for each  
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29 subject. Three motion parameters (roll, pitch, yaw) were used as regressors to adjust EPI  
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31 intensity changes due to motion artifacts. This has been shown to increase power in detecting  
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33 task-related activation (Skudlarski, Constable, & Gore, 1999). All slices of the functional scans  
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35 were temporally aligned following registration to assure that different relationships with the  
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37 regressors were not due to the acquisition of different slices at different times during the  
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39 repetition interval. The functional EPI underwent automatic coregistration to the high-  
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41 resolution anatomical image and each dataset was manually inspected to confirm successful  
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43 alignment. New outliers were generated for the volume-registered dataset based on whether a  
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45 given time point greatly exceeded the mean number of voxel outliers for the time series.  
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56 Orthogonal regressors were computed for two conditions: (1) anticipation and (2)  
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58 breathing load. A task-based reference function corresponding to time interval of the regressor  
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4 of interest was convolved with a gamma variate function (Boynton, Engel, Glover, & Heeger,  
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6 1996) that modeled the prototypical 6-8 second delay hemodynamic response function  
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8 (Friston, 1995) and the temporal dynamics of the hemodynamic response (typically 12-16  
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10 seconds; (Cohen, 1997)). In addition, three motion parameters were obtained for each  
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12 participant (roll, pitch, yaw) and were used to adjust for EPI intensity changes due to motion  
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14 artifacts. If the average of any one of these parameters exceeded 2 standard deviations from  
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16 the mean or if movement exceeded the size of the voxel (4 mm) participants were excluded;  
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18 however, no participant was excluded based on this criterion. Using the AFNI program  
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20 3dDeconvolve, multivariate regressor analysis was used to relate changes in EPI intensity to  
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22 differences in task characteristics (anticipation and breathing load). The baseline condition,  
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24 wherein participants were neither anticipating nor receiving the breathing load, served as the  
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26 baseline for this analysis. A Gaussian Spatial Filter (4mm FWHM) was used to spatially blur data  
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28 to account for anatomical differences. Automated Talairach transformations were applied to  
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30 anatomical images and EPIs were subsequently transformed into Talairach space. Voxel-wise  
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32 normalized % signal change from baseline was then calculated for anticipation and breathing  
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34 load conditions.

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45 ***Regions of interest.*** In addition to the whole-brain analysis, analyses were constrained to  
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47 a priori regions of interest (ROI), which included the insula, ACC, thalamus, mPFC and dlPFC.  
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49 These a priori, anatomically defined ROIs were constructed using a data-driven approach that  
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51 combined Talairach stereotactic definition and grey matter probabilities based on high  
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53 resolution T1 images from a group of 43 healthy adults (Fonzo et al., 2013). Using SPM5  
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55 (Statistical Parametric Mapping software; <http://www.fil.ion.ucl.ac.uk/spm>) implemented in  
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4 Matlab 7.5.0 (MathWorks, Natick, Massachusetts), grey matter probabilities were determined  
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7 by applying grey matter segmentation for each subject, which yielded voxel-wise probabilities  
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10 of assignment to grey matter, across all subjects. The grey matter probability maps were  
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12 spatially normalized to Talairach stereotactic space, with the boundaries of each region  
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14 determined based on maximizing sensitivity and specificity for each ROI. The masks were then  
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16  
17 applied to functional MRI datasets to extract signals from voxels located in selected regions.  
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20 **Group-level analysis.** The main dependent measure was percent signal change during  
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22 anticipation and breathing load conditions, which were entered into a mixed effects model  
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24 (Littell, Pendergast, & Natarajan, 2000). Data were analyzed with linear mixed effects models in  
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26 R (<http://cran.r-project.org/>), which estimates parameters using Maximum Likelihood  
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28 Estimation and estimates effects using specific contrast matrices. The fixed factors were  
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30 modeled as the group (LowRes, NormRes, HighRes), condition (anticipation and breathing load),  
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32 and subject was modeled as a random factor. In order to guard against Type I error, voxel-wise  
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34 statistics were calculated using the AFNI program AlphaSim, which estimates statistical  
35  
36 significance based on Monte-Carlo stimulations. It was determined that, given the spatial  
37  
38 smoothing of 4 mm FWHM and a voxel-wise  $p < 0.05$ , the volume threshold for clusterwise  
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40 probability of 0.05 was: (1) 768  $\mu\text{L}$  (12 contiguous voxels) for the whole brain fMRI analysis; (2)  
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42 256  $\mu\text{L}$  (4 contiguous voxels) for insula and thalamus; (3) 448  $\mu\text{L}$  (7 contiguous voxels) for ACC;  
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44 (4) 320  $\mu\text{L}$  (5 contiguous voxels) for dlPFC; and (5) 384  $\mu\text{L}$  (6 contiguous voxels) for mPFC. Only  
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53 clusters meeting these criteria were considered for further analysis.  
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## 56 **Questionnaire and Neuropsychological Assessment Analyses**

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4       **Statistical analysis.** All data analyses were carried out with SPSS 20.0 (IBM, Chicago, IL).

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7       A chi-square analysis was performed to examine the relationship between gender and  
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9       resilience level (LowRes, NormRes, HighRes). Univariate analysis of variance (ANOVA) were  
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11       performed to examine the relationship between resilience level and (1) *Demographic*  
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13       *Characteristics*: age, education, and verbal IQ; (2) *Interoceptive Assessments*: BAQ and BRQ  
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15       (perceived disconnection and interoceptive awareness); and (3) VAS ratings after the fMRI  
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17       session.  
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22       **Data screening.** Prior to analysis, data were screened for normality of distribution and  
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24       outliers. Normality of distribution was analyzed using the Shapiro-Wilks test. BAQ total and BRQ  
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26       total were identified as being non-normally distributed. In addition, all analyses were screened  
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28       for violations of homogeneity of variance using Levene's Test of Equality of Error Variances.  
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30       BAQ total violated homogeneity of variance. All analyses were conducted using parametric  
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32       statistics; however, variables found to have non-normal distributions and/or violations of  
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34       homogeneity of variance were re-analyzed using non-parametric statistics (i.e., Mann-Whitney  
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36       U test). Results of non-parametric analyses were unchanged, most likely due to the robustness  
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38       of ANOVA. As such, for consistency and ease of interpretation, parametric analyses are  
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40       presented.  
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#### 48       **RT, Accuracy, and CO<sub>2</sub> Analysis**

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51       RT and accuracy were calculated for each condition per participant. CO<sub>2</sub> data were  
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53       visually inspected for artifacts and down sampled by 80 (40 Hz \* 2 seconds per TR) to obtain  
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55       one value per TR per fMRI run. A total of 32/44 (73%) of subjects (10 LowRes, 10 NormRes, and  
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57       12 HighRes) had usable CO<sub>2</sub> data as determined via visual inspection. For these subjects, CO<sub>2</sub>  
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4 values were averaged for each condition separately. Separate repeated measures ANOVAs  
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7 were performed for RT, accuracy, and CO<sub>2</sub>; percent change from baseline was the dependent  
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10 variable, condition (anticipation and breathing load) was the within-subjects variable, and  
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12 group (LowRes, NormRes, HighRes) was the between-subjects variable. Greenhouse-Geisser  
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14 corrections were calculated and reported for cases of non-normality. Follow up univariate  
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17 ANOVAs were employed to test significant effects.  
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### 20 **Exploratory Brain-Behavior Correlations**

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22 Spearman's Rho correlations were run between measures of interoception and fMRI  
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24 regions (that were different among the three groups or that had a group by condition  
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26 interaction) and significant clusters from the ROI activation analysis. Bonferroni correction was  
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28 calculated to account for multiple comparisons.  
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## 32 **Results**

### 33 **Questionnaire Session**

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38 **Group differences.** Table 1 shows means, significance, partial eta-squared, and Cohen's d  
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40 as a function of group membership. Cohen's d and Partial eta-squared are both effect sizes that  
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42 measure the strength of the relationship between two variables. With respect to interoceptive  
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44 processing, LowRes endorsed lower BAQ total and BRQ total interoceptive awareness than the  
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46 other two groups.  
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### 50 **Neuroimaging Session**

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53 **Behavioral and physiological results.** Findings are presented in Table 2. Levels of  
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55 resilience did not affect accuracy. However, for RT, there was a main effect of condition  
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57 [F(1,41) = 6.02, p < 0.05] and a group by condition interaction [F(2,41) = 3.52, p < 0.05]. For the  
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4 main effect of condition, as a whole, all participants had quicker RT during breathing load  
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7 relative to anticipation. For the group by condition interaction, follow-up univariate ANOVAs  
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10 indicated that there were no group differences in RT for breathing load, there was a significant  
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12 effect of group for anticipation [ $F(2,41)=3.21, p < 0.05$ ], such that LowRes had greater percent  
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14 change RT from baseline to anticipation than NormRes. There was a main effect of condition for  
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17  $CO_2$ ; breathing load was associated with lower  $CO_2$  levels, but there were no group differences.  
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20 Finally, groups did not differ on VAS pleasantness, unpleasantness, or intensity ratings of the  
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22 breathing load experience.  
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25 ***Whole-brain fMRI analysis.*** See Table 3 and Figures 2 and 3 for the main effect of group  
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27 and condition and the group by condition interaction results. All significant effects were  
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29 followed-up and confirmed with post-hoc analyses.  
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33 *Condition main effect.* Across all subjects, there was greater activation in the breathing  
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35 load condition relative to the anticipation condition in the insula, thalamus, ACC, and mPFC (See  
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37 Table 3 for a comprehensive list of regions and Figure 3).  
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40 *Group main effect.* LowRes showed greater activation than NormRes and HighRes in left  
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42 middle insula (Figure 2). In contrast, HighRes exhibited lower activation than the other two  
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44 groups in right mPFC, and in turn, LowRes displayed lower activation than NormRes.  
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49 *Group by condition interaction.* HighRes demonstrated lower right parahippocampal  
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51 gyrus and caudate activation during anticipation and breathing load than the other two groups.  
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53 In addition, HighRes and NormRes displayed lower activation in bilateral cerebellum than  
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55 LowRes during breathing load. LowRes exhibited higher left mPFC activation than NormRes  
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57 during breathing load, but HighRes did not differ from both groups.  
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4 **ROI fMRI analysis.** See Table 4 for the main effect of group and condition and the group  
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7 by condition interaction results. There were no significant clusters of activation in the ACC,  
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9 anterior insula, or dIPFC. For the group main effect, LowRes demonstrated greater activation  
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11 than the other two groups in middle insula and thalamus (Figure 4). However, for mPFC,  
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14 NormRes had greater activation than the other two groups.  
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## 17 **Discussion**

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20 This study aimed to investigate how various levels of self-reported resilience is related  
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22 to how the brain responds to an aversive stimulus. We report two primary findings: (1) LowRes  
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24 individuals self-reported lower levels of interoceptive awareness; and (2) LowRes individuals  
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26 demonstrated higher insular and thalamic activation across anticipation and breathing load  
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28 conditions. We speculate that this mismatch between attention to and processing of  
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30 interoceptive afferents result in poor adaptation in stressful situations.  
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36 First, individuals who self-report low levels resilience (LowRes) endorse lower levels of  
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38 interoceptive awareness and body responsiveness than individuals who self-report normal and  
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40 high levels of resilience (NormRes and HighRes, respectively). There is evidence to suggest that  
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42 elite athletes, individuals whom one may consider to be resilient, are particularly adept at  
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44 paying close attention to bodily signals (Philippe & Seiler, 2005). It has been proposed that  
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46 individuals regulate performance via multiple afferents that signal the perception of effort  
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48 relative to perceived fatigue, such that, one's performance is maintained within the  
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50 biomechanical and metabolic limits of the body (Hampson, Gibson, Lambert, & Noakes, 2001;  
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52 Tucker, 2009). We have recently proposed that maintaining interoceptive balance, by  
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65 generating body prediction errors, i.e., the difference between the value of the

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4 anticipated/predicted interoceptive state and the value of the current interoceptive state, in  
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6 the presence of significant perturbations, may be the neural marker of optimal performance  
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8 (Paulus et al., 2009). In particular, optimal performers may generate a more efficient body  
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10 prediction error, as a way of adapting to extreme environments. In line with this heuristic, the  
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12 present findings provide evidence that LowRes individuals have significantly less awareness and  
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14 responsiveness to interoceptive signals. Findings point to bodily awareness training as potential  
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16 interventions of those who report impaired stress resilience (Paul et al., 2013; Sahdra et al.,  
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18 2011).

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26 Second, consistent with our previous studies focused on elite warfighters and athletes,  
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28 individuals at the opposite end of the resilience spectrum, i.e., LowRes, showed greater  
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30 activation than normal and high resilient participants in brain regions important for processing  
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32 interoceptive afferents. Specifically, LowRes individuals demonstrated greater activation in the  
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34 thalamus and middle insula than the other two groups, findings that do not appear to be a  
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36 function of CO<sub>2</sub> levels, task accuracy and RT, or subjective experiences of aversive breathing  
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38 load (e.g., VAS pleasantness). There is converging evidence to suggest that the insula functions  
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40 as an integration system that instantiates information about subjective feeling states and  
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42 awareness of the self (Craig, 2002; Critchley, Wiens, Rotshtein, Ohman, & Dolan, 2004). The  
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44 posterior insula receives topographic and modality specific interoceptive information from  
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46 ascending brain regions, which is then transmitted and integrated to the middle insula and  
47  
48 anterior insula with information regarding hedonic and motivational salience that is received  
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50 from subcortical and cortical structures (Augustine, 1996; Craig, 2009; Mesulam & Mufson,  
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52 1982; Mufson & Mesulam, 1982). The exaggerated insula response in LowRes individuals is  
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4 consistent with our prior findings that anxiety prone individuals show exaggerated insular  
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6 response to emotional faces (Stein, Simmons, Feinstein, & Paulus, 2007) and when anticipating  
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8 aversive visual stimuli (A. Simmons, Strigo, Matthews, Paulus, & Stein, 2006). A recent study by  
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10 Farb and colleagues (Farb, Segal, & Anderson, 2013) further validated the role of the middle  
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12 and posterior insula as a primary interoceptive cortex. In particular, they demonstrated  
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14 significant middle and posterior insula activation during an interoceptive attention to breathing  
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16 task, wherein greater activation in the middle insula was associated with greater attention to  
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18 the breath (Farb et al., 2013). Thus, there is a possibility that individuals who are low resilient or  
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20 those who are at risk for anxiety disorders show deploy more neural processing resources to  
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22 resolve the interoceptive impact of aversive events. Specifically, we have argued that this  
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24 increased processing emerges from increased mismatch in actual versus predicted body states  
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26 (Paulus & Stein, 2006). Moreover, given that these individuals do not adaptively respond to  
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28 stressful situations, it suggests that the mismatch between inadequate awareness of  
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30 interoceptive stimuli and increased insula and thalamus activation to aversive stimuli may be  
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32 the key processes that contribute to lower levels of resilience. Therefore, the exaggerated  
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34 insula activation in low LowRes subjects could be considered an example of inefficient neural  
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36 processing(Paulus et al., 2009; Paulus & Stein, 2006).  
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48           Waugh and colleagues found that LowRes individuals showed significantly greater  
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50 anterior insula activation in response to threatening and aversive images, whereas HighRes  
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52 individuals showed less insula activation during to threatening images (Waugh et al.,  
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54 2008).Moreover, we have previously shownthat highly resilient individuals, such as elite  
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56 adventure racers (Paulus et al., 2012) and special operations forces(A. N. Simmons et al., 2012),  
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4 demonstrate improved performance and attenuated insular function, which suggests that  
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7 resilience, or the ability to perform well under stress, involves attenuation of the neural  
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10 systems that subserve emotion and interoception. These data are consistent with the present  
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12 results documenting attenuated thalamus and insular activation in NormRes and HighRes  
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14 individuals, in contrast to LowRes individuals. Moreover, the significant increase in activation in  
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16 the LowRes group in response to an aversive interoceptive perturbation may represent a neural  
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18 marker of low resilience.  
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23         One could speculate that decreased awareness and responsiveness of interoceptive signals  
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25 leaves LowRes individuals unprepared in the face of interoceptive perturbation. As a result of a  
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27 disrupted interoceptive system, LowRes individuals may be unable to make accurate body  
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29 prediction errors, as their reduced interoceptive monitoring may lead to poor integration of  
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31 current body states to predict future body states. In other words, LowRes individuals by not be  
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33 effectively using information from the moment, which may lead to impaired decision making in  
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35 the presence of stressful environments.  
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42         A potentially confounding factor of the present study is that the LowRes group was  
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44 relatively high functioning; we screened for current psychopathology and medication use.  
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46 Investigating LowRes individuals with multiple comorbidities may result in a more  
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48 comprehensive understanding of self-report and functional brain changes in LowRes individuals.  
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51 However, despite our relatively healthy LowRes individuals, we were able to demonstrate both  
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53 self-report and functional brain changes.  
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## 58 **Summary**

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4 The observation that levels of resilience are associated with differential activation of insular  
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7 cortex is a first step in bringing neuroscience approaches to a better understanding of what  
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9 makes individuals perform differently when exposed to extreme environments and how to  
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11 build resilience. These results suggest that the ability to perform well under stress involves  
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13 modulation of the neural systems that are also important in processing interoceptive  
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15 information. Given our results, it appears that individuals at the lower end of the resilience  
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17 spectrum demonstrate an inability to monitor their incoming body signals, as measured by self-  
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19 report and fMRI, resulting in inefficient body prediction errors. As a consequence, the brain  
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21 utilizes more resources in areas that are important for processing these body afferents.  
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Figure 1. Aversive Inspiratory Breathing Load Task Regressors of Interest.

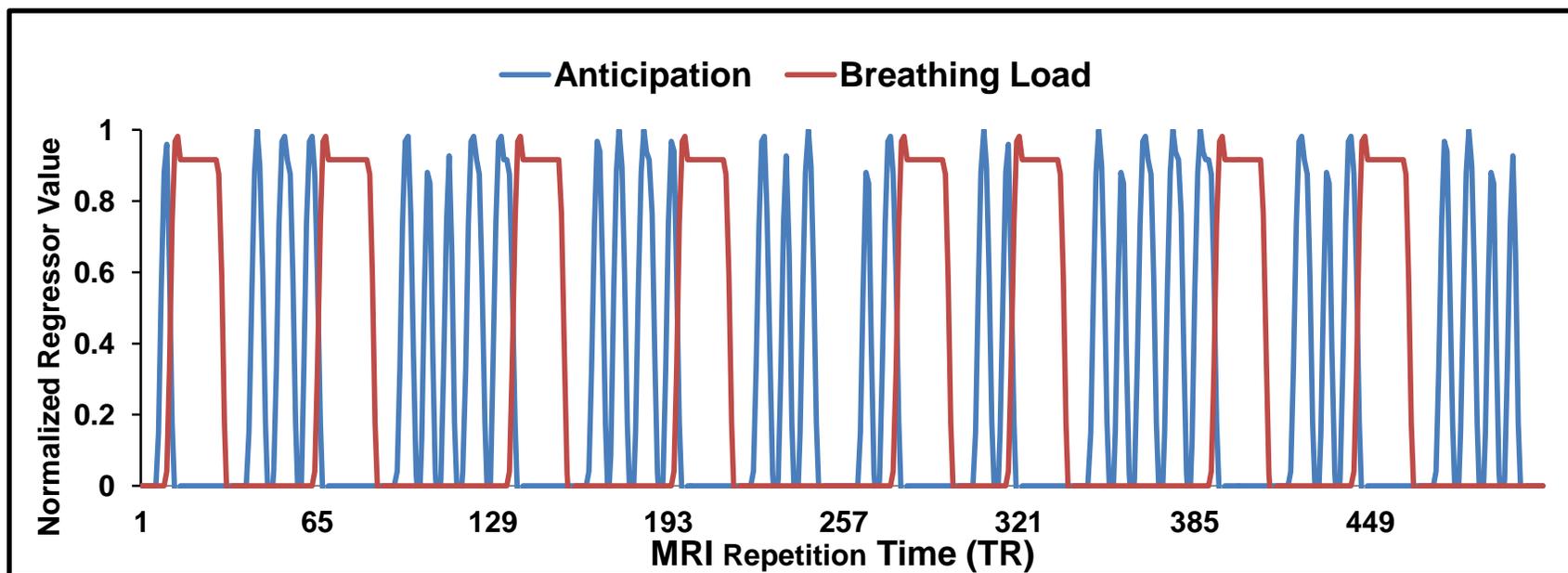


Figure 2. Whole Brain Analysis: Main effect of group.

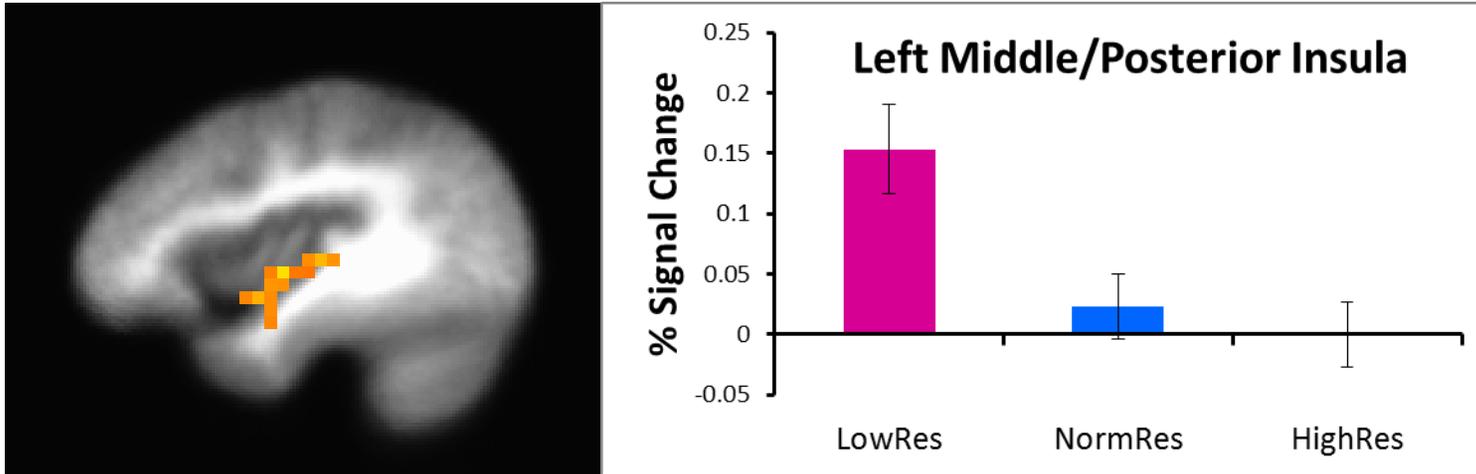


Figure 3. Whole Brain Analysis: Main effect of Condition.

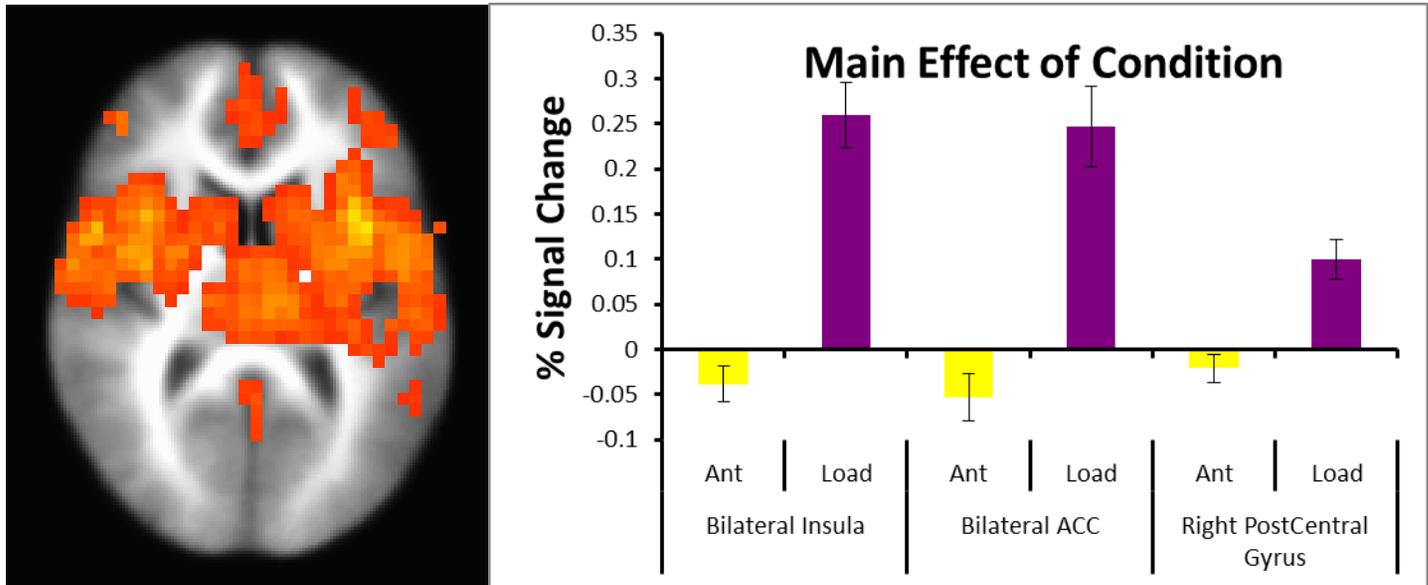
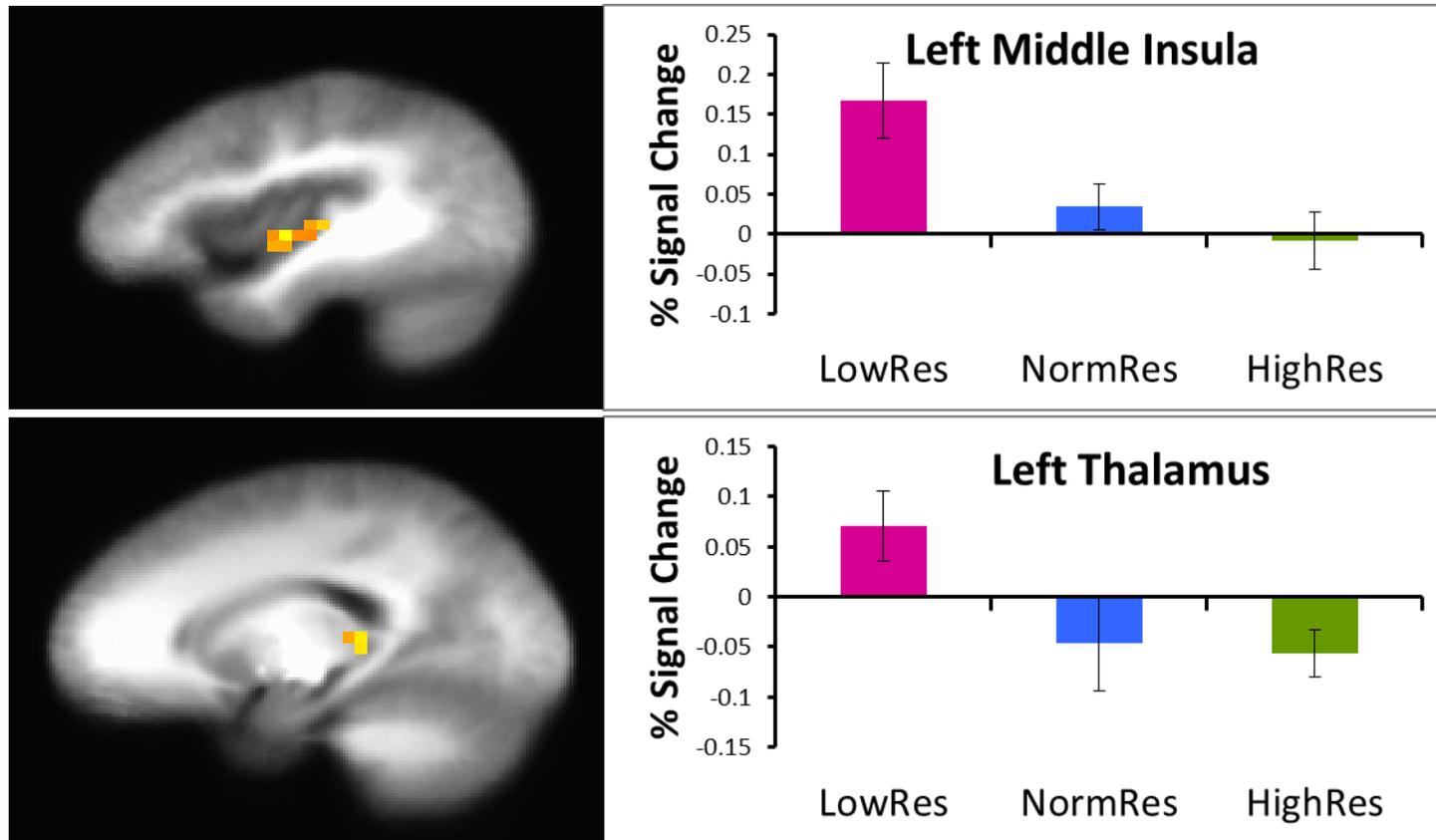


Figure 4. Region of Interest Analysis: Main Effect of Group.



**Table 1.** Demographics and Self-Report Measures of Study Participants

	LowRes(n=16)	NormRes (n=12)	HighRes(18)	Significance	$\eta^2$		
<b>Demographics Characteristics</b>	<i>Mean(SD)</i>						
Gender	9f/7m	5f/7m	10f/8m	p = .698			
Age	27.06(9.2)	30.22(6.03)	29.50(9.1)	p = .506	.031		
Years of Education	14.31(2.2)	14.94(2.79)	15.92(1.7)	p = .216	.096		
WRAT-4 Reading	65.53(3.56)	64.35(3.55)	64.36(3.98)	p = .242	.067		
						<b>Cohen's d</b>	
<b>Self-Report Measures</b>						<i>LowRes vs. NormRes</i>	<i>LowRes vs. HighRes</i>
<b>Body Awareness Total</b>	71.81(22.08)	91.64(12.60)	89.06(12.66)	p = .005*	.231	-1.10	-0.96
<b>Body Responsiveness Total</b>	32.12(5.28)	38.36(6.27)	39.18(5.04)	p = .001*	.277	-1.08	-1.37
Perceived Disconnection	16.13(2.55)	16.27(3.69)	16.94(2.93)	p = .716	.016		
<b>Interoceptive Awareness</b>	<b>16.00(4.66)</b>	<b>22.09(3.53)</b>	<b>22.24(3.93)</b>	<b>p &lt; .001*</b>	<b>.358</b>	<b>-1.47</b>	<b>-1.45</b>

*NormRes = normal resilience group; LowRes = low resilience group; HighRes = high resilience group; SD = standard deviation, \* = significant after correcting for multiple comparisons; f = female, m = male.*

**Table 2.** fMRI Breathing Load Behavioral Performance , CO<sub>2</sub>, and VAS Ratings

	<i>LowRes</i>	<i>NormRes</i>	<i>HighRes</i>
	<i>M (SD)</i>	<i>M (SD)</i>	<i>M (SD)</i>
<i>RT (msec)</i>			
Baseline	600.56 (106.53)	737.98 (132.99)	644.09 (108.67)
Anticipation	625.11 (115.20)	719.38 (122.91)	661.70 (116.93)
Breathing Load	586.91 (115.80)	748.01 (221.10)	631.74 (154.00)
<i>Accuracy (%)</i>			
Baseline	95 (6)	90 (11)	95 (6)
Anticipation	96 (5)	89 (15)	94 (9)
Breathing Load	97 (4)	90 (11)	95 (7)
<i>CO<sub>2</sub></i>			
Baseline	1.13 (0.21)	1.08 (0.22)	1.24 (0.18)
Anticipation	1.14 (0.20)	1.09 (0.20)	1.16 (0.19)
Breathing Load	0.95 (0.21)	0.94 (0.18)	1.00 (0.19)
<i>VAS Ratings</i>			
Pleasantness	3.07 (2.28)	3.58 (3.31)	3.52 (2.84)
Unpleasantness	5.29 (2.49)	3.99 (2.71)	4.86 (3.01)
Intensity	2.82 (2.77)	2.22 (2.69)	2.66 (3.02)

**Table 3.** Whole-brain fMRI results for Group and Condition main effects and the Group by Condition interaction effect

*Group Main Effect*

<i>Vol(μL)</i>	<i>Voxels</i>	<i>x</i>	<i>y</i>	<i>z</i>	<i>Hem</i>	<i>Regions in Cluster</i>	<i>BA</i>	<i>HighRes &lt; LowRes</i>	<i>NormRes &lt; LowRes</i>
1472	23	-36	-10	-1	L	Middle and Posterior Insula	13	<i>p</i> < .001	<i>p</i> < .005
1216	19	-1	-45	2	L/R	Culmen	29	<i>p</i> < .001	<i>p</i> < .006
								HighRes < NormRes	LowRes < NormRes
768	12	16	35	34	R	Medial Frontal Gyrus	9	<i>p</i> < .001	<i>p</i> < .001

*Condition Main Effect*

<i>Vol(μL)</i>	<i>Voxels</i>	<i>x</i>	<i>y</i>	<i>z</i>	<i>Hem</i>	<i>Regions in Cluster</i>	<i>BA</i>	<i>Ant &lt; Load</i>	<i>Load &lt; Ant</i>
242496	3789	-6	-14	14	L/R	Insula, Thalamus, Caudate Lentiform Nucleus, Precentral Gyrus, Postcentral Gyrus, Superior Temporal Gyrus	-	<i>p</i> < .001	ns
50112	783	0	0	46	L/R	Anterior Cingulate Gyrus, Gyrus, Medial Frontal Gyrus, Cingulate Gyrus, Superior Frontal	24	<i>p</i> < .001	ns
4288	67	29	40	34	R	Middle Frontal Gyrus, Superior Frontal Gyrus	9	<i>p</i> < .001	ns
1152	18	-53	-51	6	L	Middle Temporal Gyrus	22	<i>p</i> < .001	ns

960	15	19	-31	61	R	Postcentral Gyrus	4	$p < .001$	<i>ns</i>
768	12	20	32	-5	R	Inferior Frontal Gyrus	47	$p < .001$	<i>ns</i>
768	12	44	40	14	R	Middle Frontal Gyrus	10	$p < .001$	<i>ns</i>

*Group x Condition Interaction*

<i>Vol(<math>\mu</math>L)</i>	<i>Voxels</i>	<i>x</i>	<i>y</i>	<i>z</i>	<i>Hem</i>	<i>Regions in Cluster</i>	<i>BA</i>	<i>Ant</i>	<i>Load</i>
2432	38	27	-50	7	R	Parahippocampal Gyrus/Caudate	30	HighRes < LowRes; $p = .009$	LowRes < HighRes ; $p = .010$
1472	23	1	-47	3	L/R	Culmen	29	<i>ns</i>	HighRes < LowRes; $p < .001$ NormRes < LowRes; $p = .001$
1088	17	-29	11	51	L	Middle Frontal Gyrus	6	<i>ns</i>	NormRes < LowRes; $p = .010$
768	12	28	-81	-26	R	Tuber/Uvula	-	<i>ns</i>	HighRes < LowRes; $p = .001$ NormRes < LowRes; $p = .004$

**Table 4.** ROI fMRI results for the Group main effect and the Group by Condition interaction effect

*Group Main Effect*

<i>Vol(<math>\mu</math>L)</i>	<i>Voxels</i>	<i>x</i>	<i>y</i>	<i>z</i>	<i>Hem</i>	<i>Regions in Cluster</i>	<i>BA</i>	<i>HighRes &lt; LowRes</i>	<i>NormRes &lt; LowRes</i>
512	8	-38	-12	0	L	Middle Insula	13	p < .004	p < .004
384	6	-16	-32	7	L	Thalamus		p < .008	p < .008
								HighRes < NormRes	LowRes < NormRes
576	9	15	34	34	R	Medial Frontal Gyrus	9	p < .001	p < .001